

Quantum Computation

Estimating eigenvalues: An application of QFT

Luís Soares Barbosa & Renato Neves



Universidade do Minho



MSc Physics Engineering

Universidade do Minho, 2023-24

The problem: Eigenvalue estimation

Several algorithms previously discussed (Simon, Deutsch-Jozsa, etc) resort to the following technique:

- Take a controlled version of an operator U and prepare the **target** qubit with an **eigenvector**,
- win order to **push up** (or **kick back**) the associated **eigenvalue** to the state of the **control** qubit as in

$$cU(a_0|0\rangle + a_1|1\rangle) \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) = \left((-1)^{f(0)} a_0|0\rangle + (-1)^{f(1)} a_1|1\rangle \right) \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right)$$

The problem: Eigenvalue estimation

The question

Can this technique be generalised to **estimate the eigenvalues** of an arbitrary, n -qubit unitary operator U ?

The eigenvalue estimation problem

Let $(|\psi\rangle, e^{i2\pi\phi})$, with $0 \leq \phi < 1$, be an eigenvector, eigenvalue pair for a unitary U . Determine ϕ .

Note that eigenvalues of unitary operators are always of this form.

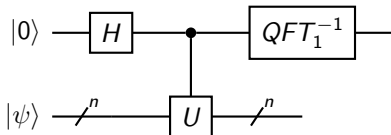
The strategy

Use a controlled version of U to prepare a state from which ϕ can be found through the inverse of the QFT
(recall the phase estimation problem already discussed)

A Simple Example

Take a unitary U with an eigenvector $|\psi\rangle$ whose eigenvalue is $e^{i2\pi\phi}$ ϕ is equal to one of the values $\{0 \cdot \frac{1}{2}, 1 \cdot \frac{1}{2}\}$. Find out ϕ .

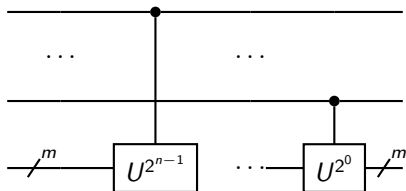
This is obtained via the circuit



Multi-controlled operations

Recall that a binary number $x_1 \dots x_n$ corresponds to the natural number $2^{n-1}x_1 + \dots + 2^0x_n$

We use this to build the previous multi-controlled operation in terms of simpler operations

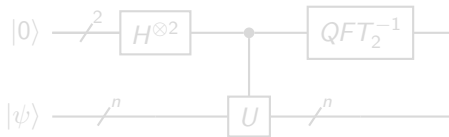


Note that the multi-controlled operation uses n 'simply'-controlled rotations U^{2^i}

Another Example

Take a unitary U with an eigenvector $|\psi\rangle$ whose eigenvalue is $e^{i2\pi\phi}$
 ϕ is equal to one of the following values $\{0 \cdot \frac{1}{4}, 1 \cdot \frac{1}{4}, 2 \cdot \frac{1}{4}, 3 \cdot \frac{1}{4}\}$

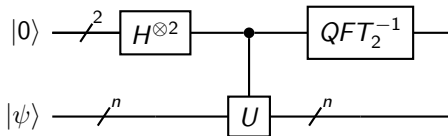
The following circuit discovers ϕ



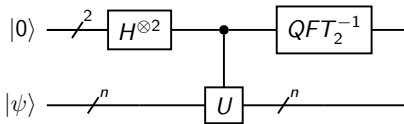
Another Example

Take a unitary U with an eigenvector $|\psi\rangle$ whose eigenvalue is $e^{i2\pi\phi}$
 ϕ is equal to one of the following values $\{0 \cdot \frac{1}{4}, 1 \cdot \frac{1}{4}, 2 \cdot \frac{1}{4}, 3 \cdot \frac{1}{4}\}$

The following circuit discovers ϕ



Another Example



$$|0\rangle |0\rangle$$

$$H^{\otimes 2} \mapsto \frac{1}{\sqrt{2^2}} (|00\rangle + |01\rangle + |10\rangle + |11\rangle)$$

$$\text{ctrl. } U \mapsto \frac{1}{\sqrt{2^2}} (|00\rangle + e^{i2\pi\phi} |01\rangle + e^{i2\pi\phi \cdot 2} |10\rangle + e^{i2\pi\phi \cdot 3} |11\rangle)$$

$$= \frac{1}{\sqrt{2^2}} (|00\rangle + e^{i2\pi x \cdot \frac{1}{4}} |01\rangle + e^{i2\pi x \cdot \frac{1}{4} \cdot 2} |10\rangle + e^{i2\pi x \cdot \frac{1}{4} \cdot 3} |11\rangle)$$

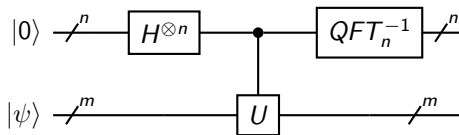
$$= \frac{1}{\sqrt{2^2}} (|00\rangle + \omega_2^x |01\rangle + \omega_2^{x \cdot 2} |10\rangle + \omega_2^{x \cdot 3} |11\rangle)$$

$$QFT_2^{-1} \mapsto |x\rangle$$

Yet Another Example

Take a unitary U with eigenvector $|\psi\rangle$ whose eigenvalue is $e^{i2\pi\phi}$
st $\phi \in \{0 \cdot \frac{1}{2^n}, \dots, 2^n - 1 \cdot \frac{1}{2^n}\}$

The following circuit returns x such that $\phi = x \cdot \frac{1}{2^n}$



Exercise

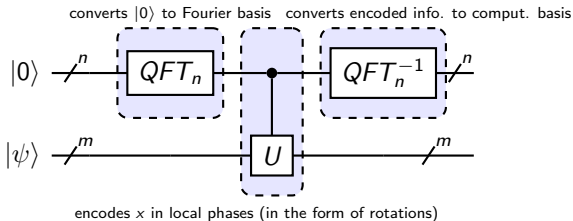
Prove that indeed the circuit returns x such that $\phi = x \cdot \frac{1}{2^n}$

Yet Another Example

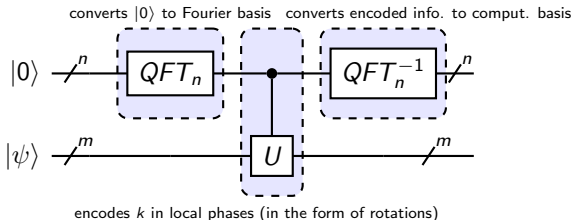
Exercise

Show that $QFT_n |0\rangle = H^{\otimes n} |0\rangle$.

Note that this allows to rewrite the previous circuit in the one below



Complexity of quantum eigenvalue estimation



How many gates does the circuit above use?

n 'Hadamards' + n 'simply'-controlled gates + n^2 gates for QFT_n^{-1}

... but precision is Limited

We assumed $0 \leq \phi < 1$ takes a value from $\{0 \cdot \frac{1}{2^n}, \dots, 2^n - 1 \cdot \frac{1}{2^n}\}$
... an assumption that arose from having only n qubits to estimate ...

But what to do if ϕ takes none of these values?

Return the n -bit number k with $k \cdot \frac{1}{2^n}$ the value above **closest** to ϕ

Is the circuit above up to this task?

Setting the stage

Let $\omega_n = e^{i2\pi \cdot \frac{1}{2^n}}$ (division of the unit circle in 2^n slices)
a.k.a. the n roots of unity

To answer the previous question, we will use the following explicit defn. of QFT_n^{-1}

$$QFT_n^{-1} |x\rangle = \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} \omega_n^{-x \cdot k} |k\rangle$$

Exercise

Prove that QFT_n^{-1} is indeed the inverse of QFT_n

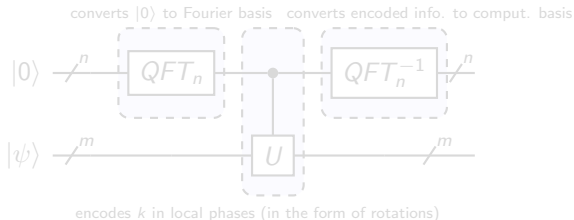
Setting the stage

Let $k \cdot \frac{1}{2^n}$ be the value in $\{0 \cdot \frac{1}{2^n}, \dots, 2^n - 1 \cdot \frac{1}{2^n}\}$ **closest** to ϕ , i.e.

$$\exists \epsilon \cdot 0 \leq |\epsilon| \leq \frac{1}{2^n} \quad \text{and} \quad k \cdot \frac{1}{2^n} + \epsilon = \phi$$

Note that the difference ϵ decreases when the number of qubits increases.

Recall the circuit



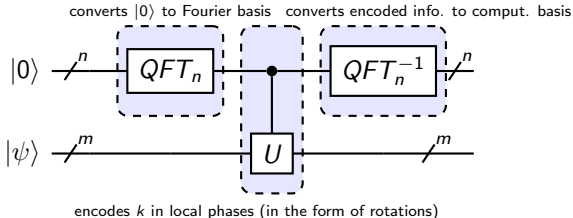
Setting the stage

Let $k \cdot \frac{1}{2^n}$ be the value in $\{0 \cdot \frac{1}{2^n}, \dots, 2^n - 1 \cdot \frac{1}{2^n}\}$ **closest** to ϕ , i.e.

$$\exists \epsilon \cdot 0 \leq |\epsilon| \leq \frac{1}{2^n} \quad \text{and} \quad k \cdot \frac{1}{2^n} + \epsilon = \phi$$

Note that the difference ϵ decreases when the number of qubits increases.

Recall the circuit



Computing the output again

 $|0\rangle$

$$H^{\otimes n} \mapsto \frac{1}{\sqrt{2^n}} (|0\rangle + |1\rangle + \dots + |2^n - 1\rangle)$$

$$\text{ctrl. } U \mapsto \frac{1}{\sqrt{2^n}} \left(|0\rangle + e^{i2\pi\phi \cdot 1} |1\rangle + \dots + e^{i2\pi\phi \cdot 2^{n-1}} |2^n - 1\rangle \right)$$

$$= \frac{1}{\sqrt{2^n}} \left(|0\rangle + e^{i2\pi(k \cdot \frac{1}{2^n} + \epsilon) \cdot 1} |1\rangle + \dots + e^{i2\pi(k \cdot \frac{1}{2^n} + \epsilon) \cdot 2^{n-1}} |2^n - 1\rangle \right)$$

$$= \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} e^{i2\pi(k \cdot \frac{1}{2^n} + \epsilon) \cdot j} |j\rangle$$

$$= \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} e^{i2\pi k \cdot \frac{1}{2^n} \cdot j} e^{i2\pi\epsilon \cdot j} |j\rangle$$

$$QFT^{-1} \mapsto \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} e^{i2\pi k \cdot \frac{1}{2^n} \cdot j} e^{i2\pi\epsilon \cdot j} \left(\frac{1}{\sqrt{2^n}} \sum_{l=0}^{2^n-1} e^{-i2\pi j \cdot \frac{1}{2^n} \cdot l} |l\rangle \right)$$

$$= \frac{1}{2^n} \sum_{j=0}^{2^n-1} e^{i2\pi k \cdot \frac{1}{2^n} \cdot j} e^{i2\pi\epsilon \cdot j} \left(\sum_{l=0}^{2^n-1} e^{-i2\pi j \cdot \frac{1}{2^n} \cdot l} |l\rangle \right)$$

$$= \frac{1}{2^n} \sum_{j=0}^{2^n-1} \sum_{l=0}^{2^n-1} e^{i2\pi\epsilon \cdot j} e^{i2\pi j \cdot \frac{1}{2^n} \cdot (k-l)} |l\rangle$$

Looking into the final state

The amplitude of $|k\rangle$ is $\frac{1}{2^n} \sum_{j=0}^{2^n-1} e^{i2\pi\epsilon \cdot j}$
which is a **finite geometric series**.

Therefore,

$$\frac{1}{2^n} \sum_{j=0}^{2^n-1} e^{i2\pi\epsilon j} = \begin{cases} 1 & \text{if } \epsilon = 0 \\ \frac{1}{2^n} \frac{1 - e^{i2\pi\epsilon 2^n}}{1 - e^{i2\pi\epsilon}} & \text{if } \epsilon \neq 0 \end{cases}$$

Let us proceed under the assumption $\epsilon \neq 0$.

A geometric detour

$|1 - e^{i\theta}|$ for some angle θ is the **Euclidean distance** between 1 and $e^{i\theta}$
(length of the **straight line segment** between both points)

Consider also **arc length** θ between 1 and $e^{i\theta}$ (distance between the two points by running along the **unit circle**)

Theorem

Let d^E and d^a be respectively the Euclidean distance and arc length between 1 and $e^{i\theta}$. Then,

a. $d^E \leq d^a$

b. if $0 \leq \theta \leq \pi$ we have $\frac{d^a}{d^E} \leq \frac{\pi}{2}$

Finally!

Recall $\left| \frac{1}{2^n} \frac{1 - e^{i2\pi\epsilon 2^n}}{1 - e^{i2\pi\epsilon}} \right|^2$ is the probability of measuring $|k\rangle$

$$\begin{aligned} \left| \frac{1}{2^n} \frac{1 - e^{i2\pi\epsilon 2^n}}{1 - e^{i2\pi\epsilon}} \right|^2 &= \left(\frac{1}{2^n} \right)^2 \frac{|1 - e^{i2\pi\epsilon 2^n}|^2}{|1 - e^{i2\pi\epsilon}|^2} \\ &\geq \left(\frac{1}{2^n} \right)^2 \frac{|1 - e^{i2\pi\epsilon 2^n}|^2}{(2\pi\epsilon)^2} && \{\text{Thm. [a.]}\} \\ &\geq \left(\frac{1}{2^n} \right)^2 \frac{\left(\frac{2}{\pi} \cdot 2\pi\epsilon 2^n\right)^2}{(2\pi\epsilon)^2} && \{\text{Thm. [b.]}\} \\ &= \left(\frac{1}{2^n} \right)^2 \frac{(4\epsilon 2^n)^2}{(2\pi\epsilon)^2} \\ &= \left(\frac{1}{2^n} \right)^2 \frac{(2 \cdot 2^n)^2}{\pi^2} = \frac{2^2}{\pi^2} = \frac{4}{\pi^2} \end{aligned}$$

Working with a superposition of eigenvectors

The algorithm requires an **eigenvector** as input, but sometimes is **highly difficult** to build such a vector.

Often it is easier to feed instead a superposition of eigenvectors.

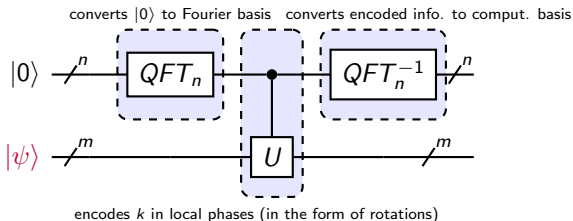
Indeed, by the **spectral theorem** one knows that the eigenvectors $\{|\psi_1\rangle, \dots, |\psi_N\rangle\}$ of U (with associated eigenvalues $e^{i2\pi\phi_1}, \dots, e^{i2\pi\phi_N}$) form a basis for the $N(= 2^n)$ -dimensional vector space on which U acts.

Thus, one may define

$$|\psi\rangle = \frac{1}{\sqrt{N}}(|\psi_1\rangle + \dots + |\psi_N\rangle)$$

to feed the circuit

Working with a superposition of eigenvectors



Exercise

Show that if $\forall_{i \leq N} \cdot \phi_i \in \{0 \cdot \frac{1}{2^n}, \dots, 2^n - 1 \cdot \frac{1}{2^n}\}$ then the circuit's output is

$$\frac{1}{\sqrt{N}} \left(|k_1\rangle |\psi_1\rangle + \dots + |k_N\rangle |\psi_N\rangle \right) \quad \left(\phi_i = k_i \cdot \frac{1}{2^n} \right)$$

Working with a superposition of eigenvectors

Similarly, it can be shown that in general the circuit's output is

$$\frac{1}{\sqrt{N}} \left(\left| \tilde{\phi}_1 \right\rangle \left| \psi_1 \right\rangle + \cdots + \left| \tilde{\phi}_N \right\rangle \left| \psi_N \right\rangle \right)$$

where each $\tilde{\phi}_i$ is the best n -bit approximation of ϕ_i with probability $\geq \frac{4}{\pi^2}$