Quantum Computation

(Lecture 9)

Luís Soares Barbosa



Universidade do Minho







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The problem

Several algorithms previously discussed (Simon, Deutsch-Joza, etc) resort to the following technique:

- Take a controlled version of an operator U_f and prepare the target qubit with an eigenvector;
- with the effect of pushing up (or kicking back) the associated eigenvalue to the state of the control qubit as in



$$U_{f}(a_{0}|0\rangle + a_{1}|1\rangle)\left(\frac{|0\rangle - |1\rangle}{\sqrt{2}}\right) = \left((-1)^{f(0)}a_{0}|0\rangle + (-1)^{f(1)}a_{1}|1\rangle\right)\left(\frac{|0\rangle - |1\rangle}{\sqrt{2}}\right)$$

The problem

The question

Can this technique be generalised to estimate the eigenvalues of an arbitrary, *n*-qubit unitary operator U?

Let cU be a controlled version of a unitary operator U, and $(|\phi\rangle, e^{2\pi i w})$ an eigenvector, eigenvalue pair. Then,

$$\begin{array}{lll} c \, \mathcal{U} |0\rangle |\varphi\rangle &=& |0\rangle |\varphi\rangle \\ c \, \mathcal{U} |1\rangle |\varphi\rangle &=& |1\rangle \mathcal{U} |\varphi\rangle &=& |1\rangle e^{2\pi i w} |\varphi\rangle &=& e^{2\pi i w} |1\rangle |\varphi\rangle \end{array}$$



The eigenvalue of U is encoded into the relative phase factor between the basis states of the control qubit of cU, thus becoming a measurable quantity.

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The problem

The eigenvalue estimation problem

Given a circuit for an operator U, and an eigenvector, eigenvalue pair, $(|\phi\rangle, e^{2\pi i w})$, determine a good estimate for w.

The idea

Prepare a state

$$\begin{aligned} \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \mathbf{w} y} |y\rangle &= \\ \left(\frac{|0\rangle + e^{2\pi i (2^{n-1} \mathbf{w})} |1\rangle}{\sqrt{2}}\right) \otimes \left(\frac{|0\rangle + e^{2\pi i (2^{n-2} \mathbf{w})} |1\rangle}{\sqrt{2}}\right) \otimes \dots \otimes \left(\frac{|0\rangle + e^{2\pi i \mathbf{w}} |1\rangle}{\sqrt{2}}\right) \end{aligned}$$

and resort to QFT^{-1} to obtain an estimate for w.

The strategy

To prepare this state note that

- $|\phi\rangle$ is also an eigenvector of U^2 , with eigenvalue $(e^{2\pi i w})^2 = e^{4\pi i w}$.
- in general, this applies to U^q , with eigenvalue $e^{2q\pi i w}$, for any integer q.

Thus, it is enough to build a controlled-U gate, set the target qubit to the eigenstate $|\Phi\rangle$, and compute for the relevant j,

$$cU^{2^{j}}\left(\left(\frac{|0
angle+|1
angle}{\sqrt{2}}
ight)|\phi
angle
ight) \ = \ \left(\frac{|0
angle+e^{2\pi i(2^{j}w)}|1
angle}{\sqrt{2}}
ight)$$

The strategy

The envisaged circuit implements a sequence of controlled- $U^{2^{j}}$ gates each controlled on the *j*-significant bit of

$$x = 2^{n-1}x_{n-1} + \cdots + 2x_1 + x_0$$



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... followed by QFT^{-1}



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The strategy

Observe that

Applying this sequence of controlled-U^{2ⁱ} gates is equivalent to the successive application of U a total of x times, as captured by the following cU^x gate:

$$cU^{\mathsf{x}}(|x\rangle|\varphi\rangle) = (|x\rangle U^{\mathsf{x}}|\varphi\rangle)$$

• On the other hand, the control qubits are prepared through $H^{\otimes n}|0\rangle^{\otimes n}$ as

$$\left(\frac{|0\rangle+|1\rangle}{\sqrt{2}}\right)\otimes\left(\frac{|0\rangle+|1\rangle}{\sqrt{2}}\right)\otimes\cdots\otimes\left(\frac{|0\rangle+|1\rangle}{\sqrt{2}}\right)$$

which can be accomplished by QFT again:

$$H^{\otimes n}|0\rangle^{\otimes n} = \frac{1}{\sqrt{2^n}}\sum_{x=0}^{2^n-1}|x\rangle = QFT|0\rangle^{\otimes n}$$

Annex: Continued Fractions

The algorithm



- 1. Prepare a *n*-qubit register, identified as the control register, with $|0\rangle^{\otimes n}$ and apply *QFT* to it.
- 2. Apply cU^{\times} to the eigenstate $|\Phi\rangle$ controlled on the state of the control register.
- 3. Apply QFT^{-1} to the control register.
- 4. Measure the control register to obtain a string of bits encoding the integer *x*.
- 5. Output the value $\tilde{w} = \frac{x}{2^n}$ as an estimate for w.

Annex: Continued Fractions

Going generic

What if $|\phi\rangle$ is an arbitrary state?

By the spectral theorem one knows that the eigenvectors $\{|\phi_1\rangle, |\phi_2\rangle, \cdots\}$ (with eigenvalues $e^{2\pi i w_j}$, for $j = 1, 2, \cdots$) of U form a basis for the 2^n -dimensional vector space on which U acts. Thus, one may write

$$| \varphi
angle \; = \; \sum_{j=0}^{2^n-1} lpha_j | \varphi_j
angle$$

The algorithm above maps, for each eigenvector of U,

$$|0\rangle^{\otimes}|\phi_{j}\rangle \mapsto |\tilde{w}_{j}\rangle|\phi_{j}\rangle$$

which, by linearity, entails

$$|\phi\rangle \mapsto \sum_{j=0}^{2^n-1} \alpha_j |\tilde{w}_j\rangle |\phi_j\rangle$$

The order-finding problem

Let's discuss now an application of the eigenvalue estimation to a problem which is central to the landmark Shor's algorithm for prime factorization.

The order-finding problem

Given two coprime integers a and n (i.e. st gcd(a, n) = 1), find the order of a modulo n.

Preliminaries

Order of an element in a group The order of an element *a* in a group $G = (A, \theta, e, {}^{-1})$ is the least positive integer *r* such that $a^r = e$, if any such *r* exists

Examples

Every element of the permutation group of degree 4

(bijections onto $\{1, 2, 3, 4\}, \cdot, id, -1$)

has order 4. For example, consider element $(1, 2, 3, 4) = \{1 \mapsto 2, 2 \mapsto 3, 3 \mapsto 4, 4 \mapsto 1\}$

$$(1,2,3,4)^1 = (1,2,3,4) \neq id$$

 $(1,2,3,4)^2 = (1,3)(2,4) \neq ia$
 $(1,2,3,4)^3 = (1,4,3,2) \neq id$
 $(1,2,3,4)^4 = id$

Preliminaries

- In $\mathcal{Z} = (\mathcal{Z}, \times, 1, \ ^{-1})$ every element but 0 has order ∞ .
- Consider the group of integers modulo n,

$$\mathcal{Z}_n = (\{0, 1, 2, \cdots, n-1\}, \times_n, 1, -1)$$

Note then when defining the order of *a* as the smallest positive integer *r* such that $a^r = 1$, the exponentiation is taken modulo *n*, and therefore the equality can be written as

 $a^r = 1 \, (\bmod \, n)$

where $x = y \pmod{n}$ abbreviates $\frac{x-y}{n} = 0$ which is the equality in \mathcal{Z}_n

So, e.g. the order of 4 in \mathbb{Z}_5 is 2 because

$$4^1 = 4 \pmod{5}$$

 $4^2 = 1 \pmod{5}$

The problem

Note that any integer a st gcd(a, n) = 1 the number 1 will appear somewhere in the sequence

rem
$$(a, n)$$
, rem (a^2, n) , rem (a^3, n) , \cdots

after what the sequence repeats itself in a periodic way.

The order-finding problem

Given two coprime integers a and n (i.e. st gcd(a, n) = 1), find the order of a modulo n, i.e. the smallest positive integer r such that

 $a^r = 1 \, (\bmod \, n)$

Strategy: The eigenvalue approach

The order-finding problem is basically an application of eigenvalue estimation for operator

 $U_a(|q\rangle) = |\operatorname{rem}(qa, n)\rangle$ for $0 \le q < n$

Clearly, U_a is unitary: being a coprime with n, a has an inverse modulo n and, thus, is reversible.

Note that U_a can be extended reversibly to an implementation in a circuit over *m* qubits $(2^m > n)$ making

$$egin{array}{ll} U_{a}(|q
angle) &= |{
m rem}\,(qa,n)
angle & {
m for}\; 0\leq q < n \ U_{a}(|q
angle) &= |q
angle & {
m for}\; q\geq n \end{array}$$

In any case, let us focus on the action of U_a restricted to the state space spanned by $\{|0\rangle, |1\rangle, \cdots, |n-1\rangle\}$.

Strategy: The eigenvalue approach

Since $a^r = 1 \pmod{n}$,

 $U_a^r(|q\rangle) = |\operatorname{rem}(qa^r, n)\rangle = |q\rangle$

i.e. U_a is the *r*th root of the identity operator *I*.

It can be shown that the eigenvalues λ of such an operator satisfy $\lambda^r = 1$, which means they take the form $e^{2\pi i \frac{k}{r}}$, for some integer k.

Thus, suppose one is able to prepare the state

$$|u_k\rangle = \frac{1}{\sqrt{r}} \sum_{q=0}^{r-1} e^{-2\pi i \frac{k}{r}q} |\operatorname{rem}(a^q, n)\rangle$$

Strategy: The eigenvalue approach

Then, observing for the last step that

$$e^{2\pi i \frac{k}{r}r} |\text{rem}(a^{q+1},n)\rangle = e^{2\pi i \frac{k}{r}0} |\text{rem}(a^0,n)\rangle$$

compute

$$\begin{aligned} U_{a}|u_{k}\rangle &= \frac{1}{\sqrt{r}} \sum_{q=0}^{r-1} e^{-2\pi i \frac{k}{r}q} U_{a}|\operatorname{rem}(a^{q}, n)\rangle \\ &= \frac{1}{\sqrt{r}} \sum_{q=0}^{r-1} e^{-2\pi i \frac{k}{r}q}|\operatorname{rem}(a^{q+1}, n)\rangle \\ &= e^{-2\pi i \frac{k}{r}} \frac{1}{\sqrt{r}} \sum_{q=0}^{r-1} e^{-2\pi i \frac{k}{r}(q+1)}|\operatorname{rem}(a^{q+1}, n)\rangle \\ &= e^{-2\pi i \frac{k}{r}}|u_{k}\rangle \end{aligned}$$

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Strategy: The eigenvalue approach

... concluding that

 $|u_k
angle$ is an eigenstate for U_a with eigenvalue $e^{-2\pi i \frac{k}{r}}$

Thus, for any value $0 \le k \le r - 1$, the eigenvalue estimation algorithm will compute an approximation k/r to k/r mapping

 $|0\rangle|u_k\rangle \mapsto |\widetilde{k/r}\rangle|u_k\rangle$

However ...

Without knowing *r* we do not know how to prepare $|u_k\rangle$.

Fortunately, it is not necessary!

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Strategy: The eigenvalue approach

Instead of preparing an eigenstate corresponding to an eigenvalue $e^{2\pi i \frac{k}{r}}$ for a randomly selected $k \in \{0, 1, \dots, r-1\}$, it suffices to prepare a uniform superposition of the eigenstates

Then the eigenvalue estimation algorithm will compute a superposition of these eigenstates entangled with estimates of their eigenvalues.

Thus, when a measurement is performed, the result is an estimate of a random eigenvalue.

Question

How to prepare such a superposition without knowing r?

Strategy: The eigenvalue approach

The uniform superposition is

$$\frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}|u_k\rangle = \frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}\frac{1}{\sqrt{r}}\sum_{q=0}^{r-1}e^{-2\pi i\frac{k}{r}q}|\text{rem}(a^q,n)\rangle$$

Note that

$$|\operatorname{rem}(a^q,n)\rangle = |1\rangle \text{ iff } \operatorname{rem}(q,n) = 0$$

Thus, the amplitude of $|1\rangle$ in the above state is the sum over the terms for which q = 0 (because r - 1 < n)

$$\frac{1}{\sqrt{r}} \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-2\pi i \frac{k}{r} 0} = \frac{1}{r} \sum_{k=0}^{r-1} 1 = 1$$

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Strategy: The eigenvalue approach

If the amplitude of $|1\rangle$ is 1, this means that the amplitudes of all other basis states are 0, yielding

$$rac{1}{\sqrt{r}}\sum_{k=0}^{r-1}|u_k
angle \ = \ |1
angle$$

Thus, the eigenvalue estimation algorithm maps

$$|0\rangle|1\rangle = |0\rangle \left(\frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}|u_k\rangle\right) = \frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}|0\rangle|u_k\rangle \mapsto \frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}|\widetilde{k/r}\rangle|u_k\rangle$$

Strategy: The eigenvalue approach

Thus, after executing the eigenvalue estimation algorithm the first register contains a uniform superposition of states $|\tilde{k/r}\rangle$ for $k \in \{0, 1, \dots, r-1\}$.

Measuring this register yields an integer x st $\frac{x}{2^n}$ is an estimate of $\frac{k}{r}$ for some k selected uniformly at random.

Finally, to estimate *r* one resorts to the following result in number theory:

Estimating r

Theorem: Let *r* be a positive integer, and take integers k_1 to k_2 selected independently and uniformly at random from $\{0, 1, \dots, r-1\}$. Let c_1, c_2, r_1, r_2 be integers st gcd(r1, c1) = gcd(r2, c2) = 1 and

k_1	_	<i>C</i> ₁	and	k_2	_	<i>c</i> ₂
r		r_1	61116	r		r_2

Then, $r = \text{lcm}(r_1, r_2)$ with probability at least $\frac{6}{\pi^2}$.

Thus

- To obtain $\frac{c_1}{r_1}$ from k/r, i.e. the nearest fraction approximating $\frac{k}{r}$ up to some precision dependent on the number of qubits used, one resorts to the continued fractions method.
- As a second pair (c_2, r_2) is needed, the whole algorithm is repeated.

The order-finding algorithm

- 1. Prepare a *n*-qubit register, identified as the control register, for an integer *n* st $2^n > 2r^2$, with $|0\rangle^{\otimes n}$.
- 2. Prepare a *n*-qubit register, identified as the target register, with $|1\rangle$.
- 3. Apply QFT to the control register, cU_a^{\times} to the target and control registers, and QFT^{-1} to the control register.
- 4. Measure the control register to retrieve an estimate $\frac{x_1}{2^n}$ of a random integer multiple of $\frac{1}{r}$.
- 5. With the continued fractions method obtain integers c_1, r_1 such that

$$\left|\frac{x_1}{2^n} - \frac{c_1}{r_1}\right| \le \frac{1}{2^{\frac{n-1}{2}}}$$

Fail otherwise.

6. Repeat steps 1. to 6. to find another integer x_2 , and a second pair (c_2, r_2) st $\left|\frac{x_2}{2^n} - \frac{c_2}{r_2}\right| \le \frac{1}{2^{\frac{n-1}{2}}}$. Fail otherwise.

7. Compute $r = \text{lcm}(r_1, r_2)$. If $\text{rem}(a^r, n) = 1$ output r; fail otherwise.

Afterthoughts

How can the algorithm fail?

- The eigenvalue estimation algorithm produces a bad estimate of ^k/_r. This occurs with a bounded probability that can be made smaller by an increase in the size of the circuit.
- The value found is not r itself, but a factor of r, which will be the case if the computed c_1, c_2 have common factors, eventually requiring additional repetitions of the algorithm

Recall

Like all quantum algorithms, this one is probabilistic: it gives the correct answer with high probability, and the probability of failure can be decreased by repeating the algorithm.

Afterthoughts

Cost

 $O((\log n)^3)$, the major cost coming from the modular exponentiation:

- The critical computation is the $cU_a^{2^j}$ operations, for $j \in \{0, 1, 2, \cdots, 2^{n-1}\}$, which constitutes cU_a^{\times} and requires 2^j applications of operator U_a .
- However, $cU_a^{2^j} = cU_{a^{2^j}}$ multiplying by rem (a, n) for 2^j times is equivalent to multiplying by rem (a^{2^j}, n) only once.
- rem (a^{2^j}, n) can be computed with j multiplications modulo n (exponential improvement over multiplying rem (a, n) for 2^j times).
- QFT requires $O(\log n)^2)$ gates.

The classical algorithm is exponential on *n*: the best known one uses $e^{O(\sqrt{\log n}\sqrt{(\log \log(n))})}$ classical gates.

Factorization

In his famous 1994 paper, Peter Shor proved that it is possible to factor a n-bit number in time that is polynomial to n.

The factorization problem

Given an integer *n*, find positive integers $p_1, p_2, \dots, p_m, r_1, r_2, \dots, r_m$ such that

- Integers p_1, p_2, \cdots, p_m are distinct primes;
- and, $\mathbf{n} = p_1^{r_1} \times p_2^{r_2} \times \cdots \times p_m^{r_m}$.

Note that one may assume n to be odd and contain at least two distinct odd prime factors (why?)

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Factorization

Since the test for primality can be done classically in polynomial time, the factoring problem can be reduced to a $O(\log n)$ instances of the following problem:

The odd non-prime-power integer splitting problem

Given an odd integer n, with at least two distinct prime factors, compute two integers

 $1 < n_1 < n$ and $1 < n_2 < n$

st $n = n_1 \times n_2$

Annex: Continued Fractions

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Miller proved in 1975 that this problem reduces probabilistically to the order-finding problem, discussed above.

All those reductions are classical: only the sampling estimates problem is quantum.

Reduction to order-finding

- Choose randomly, with uniform probability, an integer a and compute its order r such that a and n are coprime (test a from {2,3,.,n-2})
- If r is even (it will be with at least a probability of 0.5), $a^r 1$ can be factorized as

$$a^{r}-1 = (a^{\frac{r}{2}}-1)(a^{\frac{r}{2}}+1)$$

• As *r* is the order of *a*, *n* divides $a^r - 1$, which means *n* must share a factor with $(a^{\frac{r}{2}} - 1)$, or $(a^{\frac{r}{2}} + 1)$, or both.

This factor can be extracted by the Euclides algorithms which efficiently returns $gcd(a^r - 1, n)$.

Question

But how can be sure such a factor in non trivial?

Reduction to order-finding

- Clearly *n* does not divide (a^{t/2} 1).
 Actually, if rem (a^{t/2} 1, n) = 0, t/2, rather than *r*, would be the order of *a*.
- However, n may divide (a^{t/2} + 1), i.e. a^{t/2} = 1(mod n) and not share any factor with (a^{t/2} 1).

Thus, the reduction is probabilistic according to the following

Theorem: Let $n = p_1^{r_1} \times p_2^{r_2} \times \cdots \times p_m^{r_m}$ be the prime factorization of an odd number with $m \ge 2$. Then for a random *a*, chosen uniformely as before, the probability that its order is even and $a^{\frac{r}{2}} \ne -1 \pmod{n}$ is at least $(1 - \frac{1}{2^m}) \ge \frac{9}{16}$.

For number theoretic results see N. Koblitz. *A Course in Number Theory and Cryptography*, Springer, 1994.

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Shor's algorithm

Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer

Proc. 35th Annual Symp. on Foundations of Computer Science, IEEE Computer Society Press, pp. 124-134 (1994)

was a turning point in quantum computing for its spectacular decrease of the time complexity of factoring from $O(e^{\sqrt[3]{n}})$ to $O(n^3 \log n)$, with potential impact in cryptography.



Shor's algorithm

- 1. Choose $1 \leq a \leq n-1$ randomly.
- 2. If gcd(a, n) > 1, then return gcd(a, n).
- If gcd(a, n) = 1, then use the order-finding algorithm to compute r — the order of a wrt n.
- 4. If r is odd or a^{t/2} = −1(mod n) then return to 1. else return gcd(a^{t/2} − 1, n) and gcd(a^{t/2} + 1, n).

Shor's algorithm

Shor's approach to estimate a random integer multiple of $\frac{1}{r}$ in his original paper was different from the one discussed in this lecture, as an application of the eigenvalue estimation algorithm.

Shor's approach (based on period finding)

• Create a state

$$\sum_{x=0}^{2^n-1} \frac{1}{\sqrt{2^n}} |x\rangle |\mathsf{rem}\,(a^x,n)\rangle$$

which is shown to be re-written as

$$\sum_{b=0}^{r-1} \left(\frac{1}{\sqrt{2^n}} \sum_{z=0}^{m_b-1} |zr+b\rangle \right) |\mathsf{rem} \left(a^x, n \right) \rangle$$

where m_b is the largest integer st $(m_b-1)r + b \le 2^n - 1$.

Shor's algorithm

Shor's approach (based on period finding)

 Measuring the target register yields rem (a^b, n) for b chosen uniformly at random from {0, 1, 2, · · · , r − 1}, and leaves the control register in

$$rac{1}{\sqrt{m_b}}\sum_{z=0}^{m_b-1}\ket{zr+b}$$

• Apply $QFT_{2^n}^{-1}$ to the control register Note that, if r, m_b were known (!), applying $QFT_{m_br}^{-1}$ would lead to

$$\sum_{j=0}^{r-1} e^{-2\pi i \frac{b}{r} j} |m_b j\rangle$$

i.e. only values x such that $\frac{x}{rm_b} = \frac{j}{r}$ would be measured.

• Measure x and output $\frac{x}{2^n}$.

Annex: Continued Fractions

Shor's algorithm

Note that in both approaches the circuit is the same. The only difference is the basis in which the state of the system is analysed:

- the eigenvector basis
- the computational basis in Shor's original algorithm.

Shor's original algorithm is based on the period finding algorithm, which is another application of phase estimation (see [Nielsen & Chuang, 2010] for a complete account)

In all cases, the underlying quantum component is, of course, the QFT.

Continued Fractions

Method to approximate any real number t with a sequence of rational numbers of the form

$$[a_0, a_1, \cdots, a_p]$$
 defined by $a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\cdots + \frac{1}{a_p}}}}$

computed inductively as follows

$$a_0 = \lfloor t \rfloor \qquad r_0 = t - a_0$$
$$a_j = \lfloor \frac{1}{r_{j-1}} \rfloor \qquad r_j = \frac{1}{r_{j-1}} - \lfloor \frac{1}{r_{j-1}} \rfloor$$

The sequence $[a_0, a_1, \dots, a_p]$ is called the *p*-convergent of *t*. If $r_p = 0$ the continued fraction terminates with a_p and $t = [a_0, a_1, \dots, a_p]$,

Annex: Continued Fractions

Continued Fractions

Example: $\frac{47}{13} = [3, 1, 1, 1, 1, 2]$



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Continued Fractions

Theorem: The expansion terminates iff t is a rational number.

[which makes continued fractions the *right*, finite expansion for rational numbers, differently form decimal expansion]

Theorem: $[a_0, a_1, \cdots, a_p] = \frac{p_i}{q_i}$ where

$$egin{array}{rcl} p_0&=&a_0,\;q_0\,=\,1\ p_1&=&1+a_0a_1\ p_j&=&a_jp_{j-1}+p_{j-2},\;\;q_j\,=&a_jq_{j-1}+q_{j-2} \end{array}$$

Theorem: Let x and $\frac{p}{q}$ be rationals st

$$\left|x-\frac{p}{q}\right| \leq \frac{1}{2q^2}.$$

Then, $\frac{p}{q}$ is a convergent of the continued fraction for x.